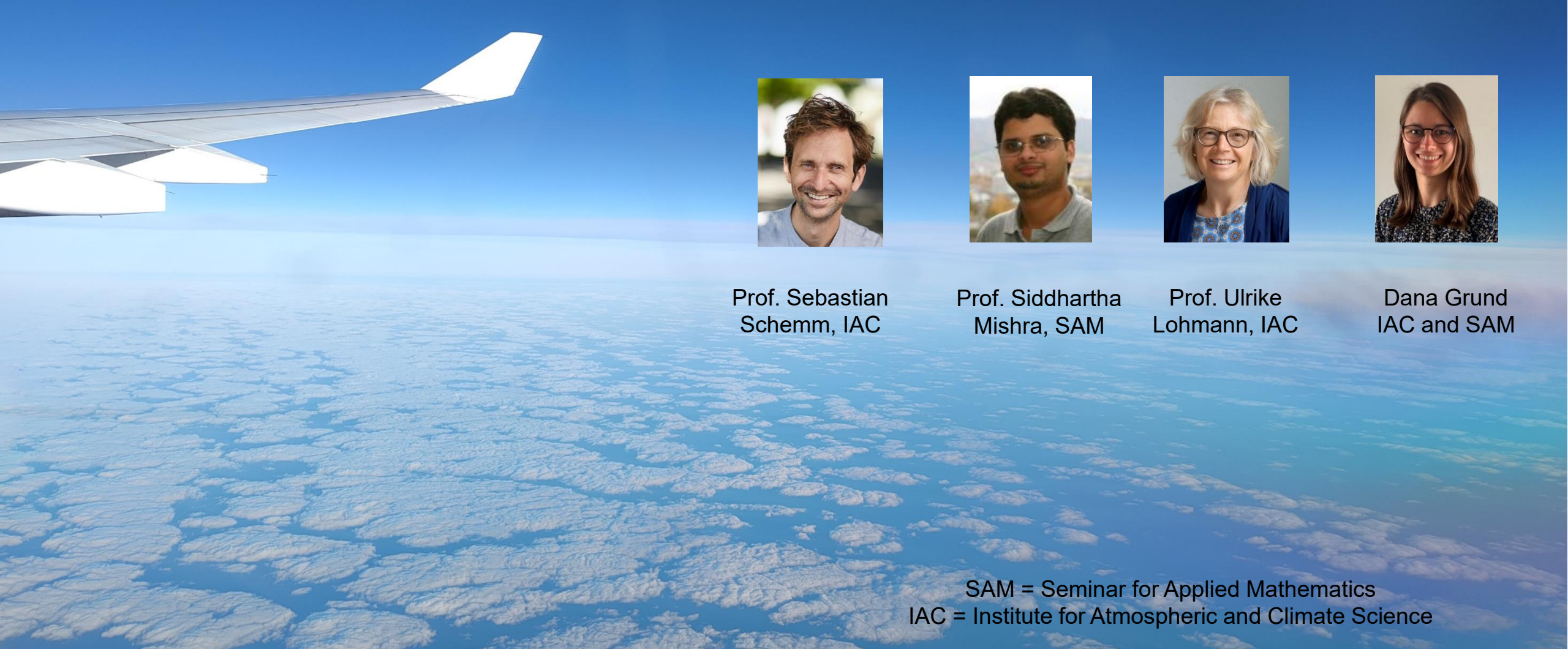




Prof. Sebastian  
Schemm, IAC



Prof. Siddhartha  
Mishra, SAM



Prof. Ulrike  
Lohmann, IAC

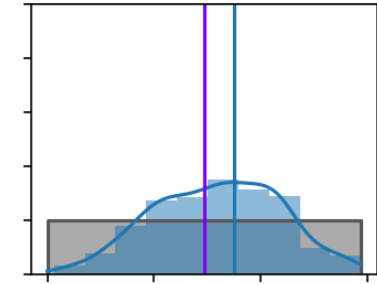
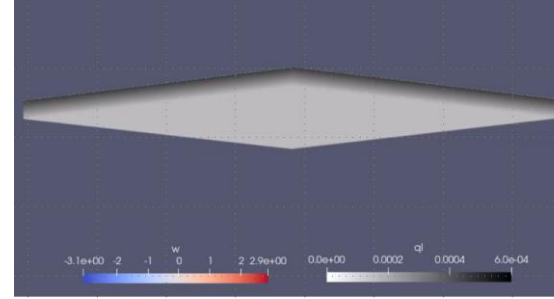
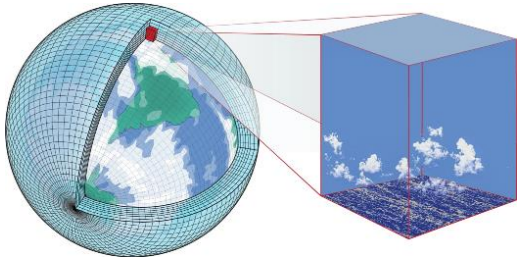


Dana Grund  
IAC and SAM

SAM = Seminar for Applied Mathematics  
IAC = Institute for Atmospheric and Climate Science

# Calibration of High-Resolution Atmospheric Models

# Calibration of High-Resolution Atmospheric Models



1. Models



2. Clouds & Measurements

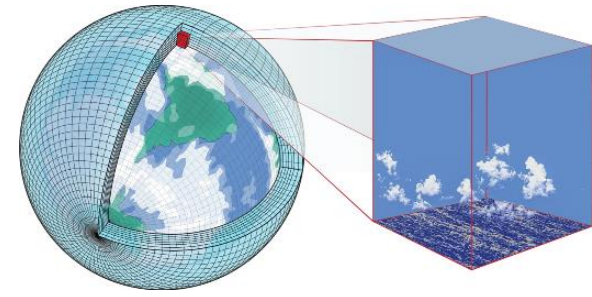


3. Simulation

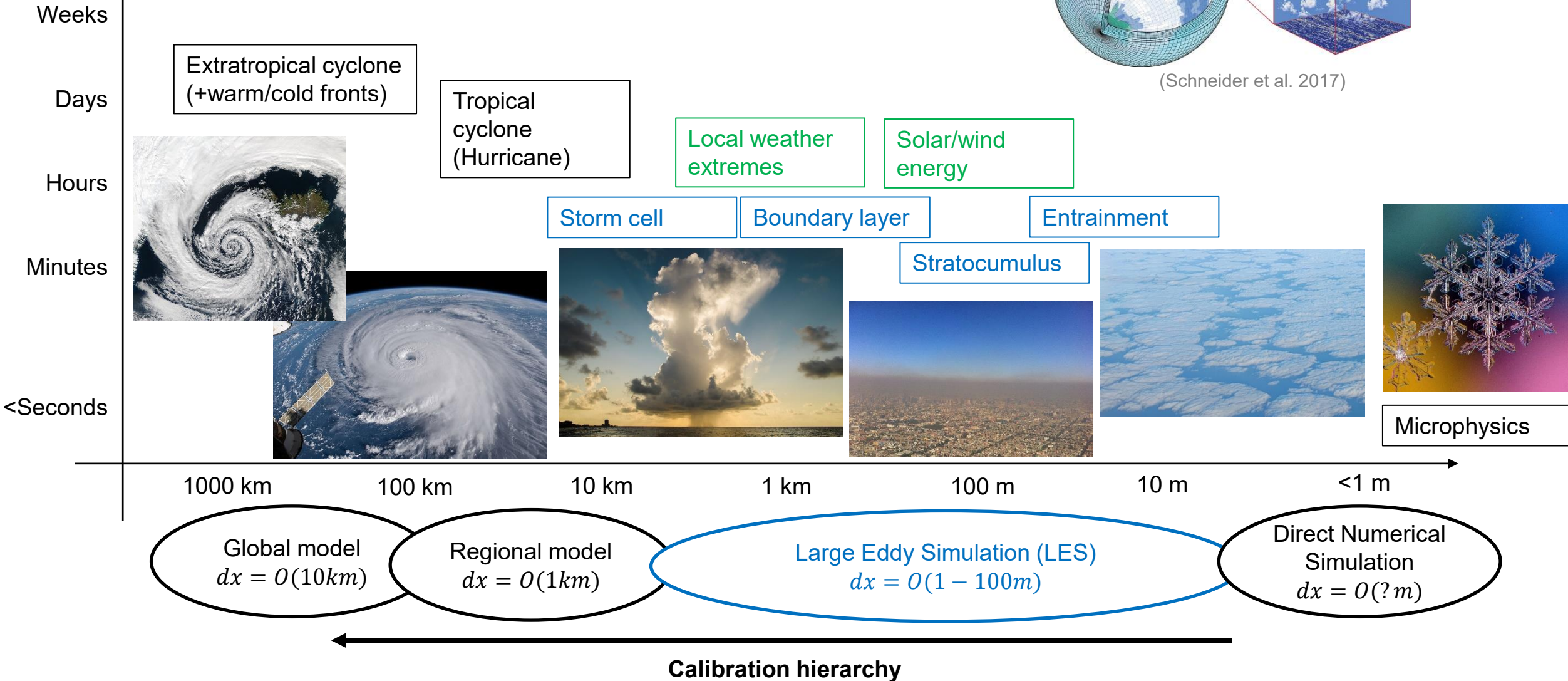


4. Calibration

# Models of the atmosphere



(Schneider et al. 2017)



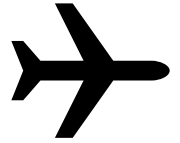


**Low clouds are „good“ \***

Contrails (high)

Marine stratocumulus clouds (low)

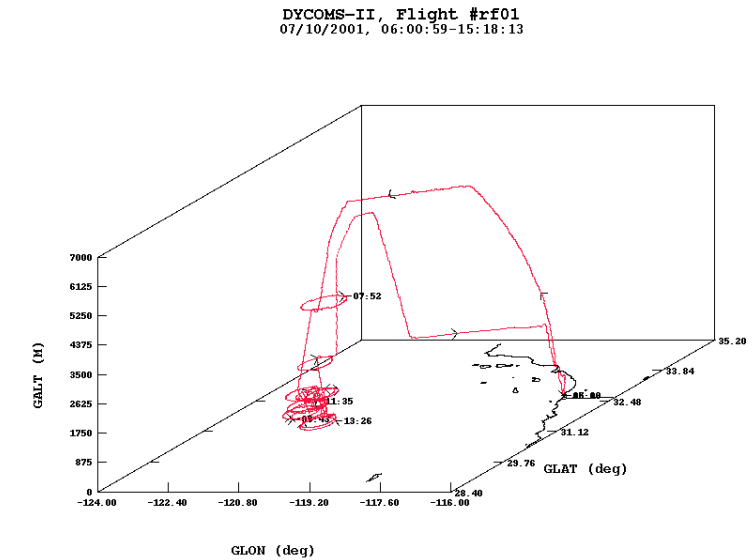
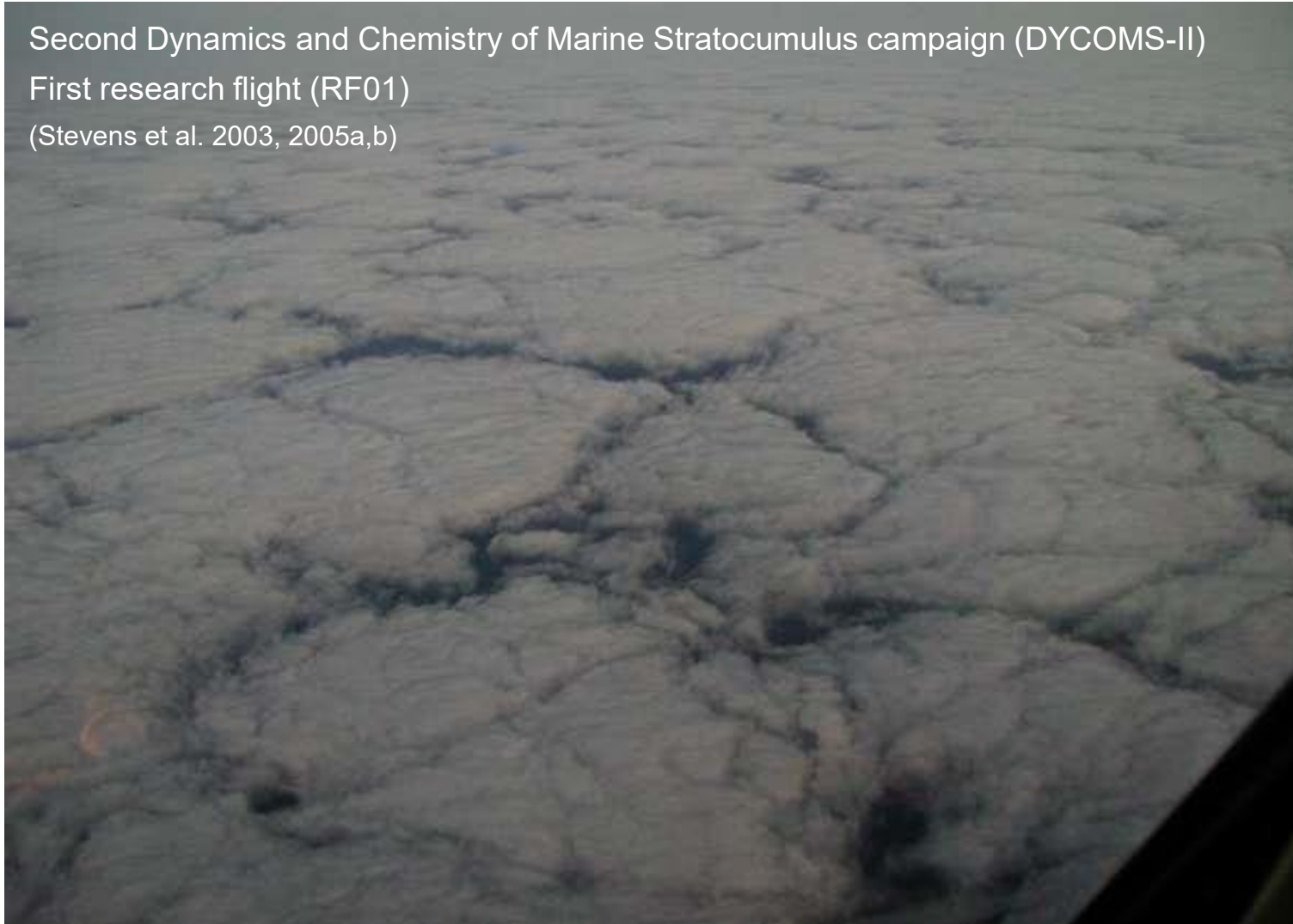
# Marine stratocumulus clouds



Second Dynamics and Chemistry of Marine Stratocumulus campaign (DYCOMS-II)

First research flight (RF01)

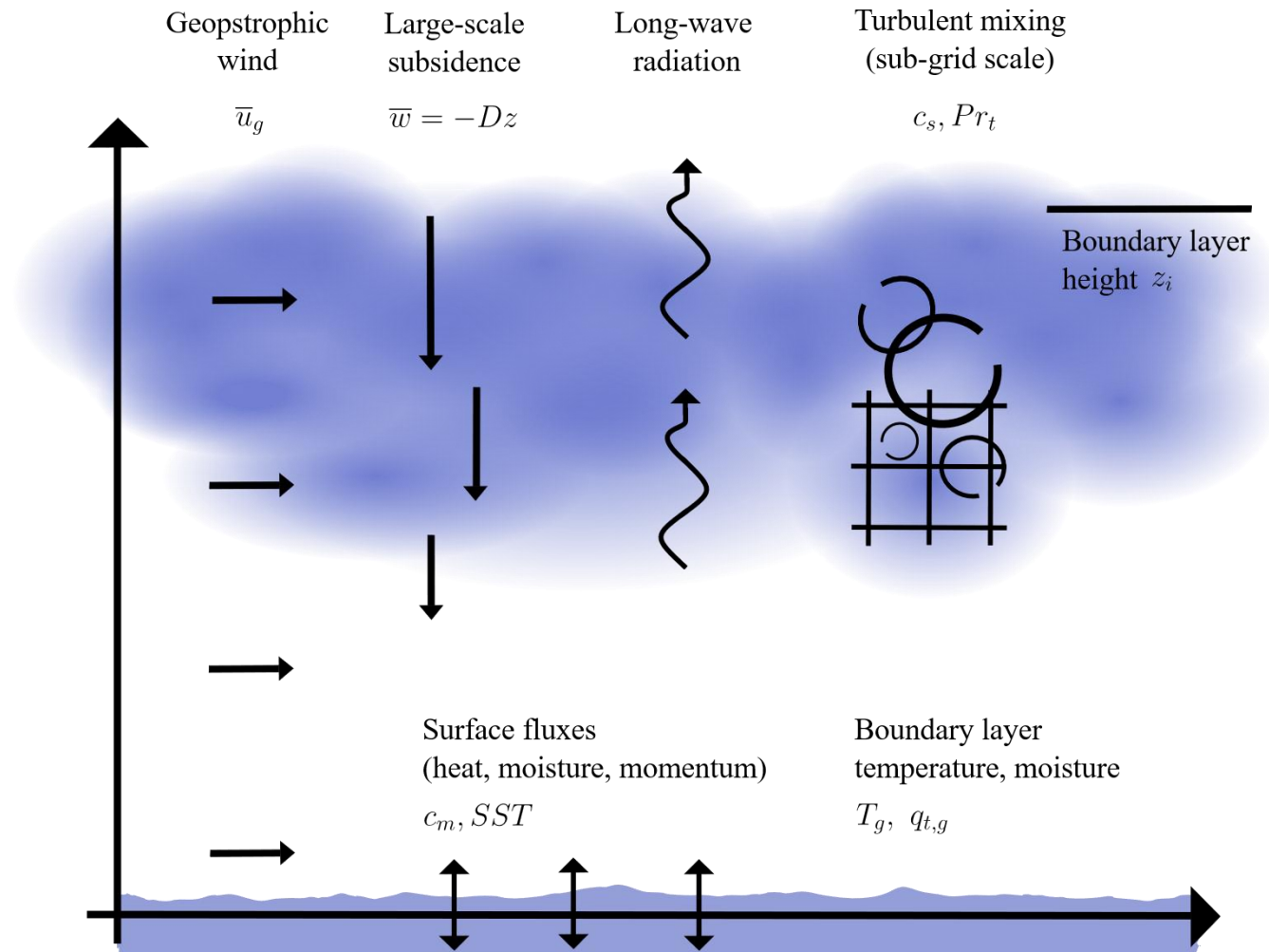
(Stevens et al. 2003, 2005a,b)



## Guiding questions

- Cloud properties?
- Stability over time?
- Sensitivities?

# Marine stratocumulus clouds

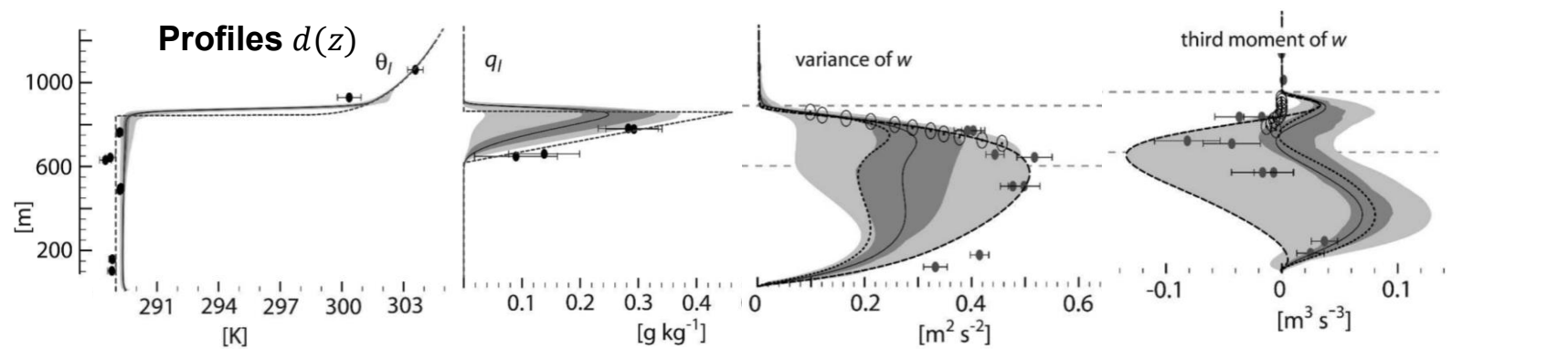


## Uncertain parameters $\theta$

|           |                                                       |
|-----------|-------------------------------------------------------|
| $T_g$     | Initial BL temperature                                |
| $q_{t,g}$ | Initial BL total humidity                             |
| $z_i$     | Initial BL height                                     |
| $u_g$     | Forcing: Horizontal wind (geostrophic)                |
| $D$       | Forcing: Vertical wind (divergence $\sim$ subsidence) |
| $SST$     | Forcing: Sea surface temperature                      |
| $c_m$     | Aerodyn. bulk coefficient (surface exchange)          |
| $c_s$     | Smagorinsky constant (turbulent viscosity)            |
| $Pr_t$    | Turbulent Prandtl number (turbulent diffusion)        |

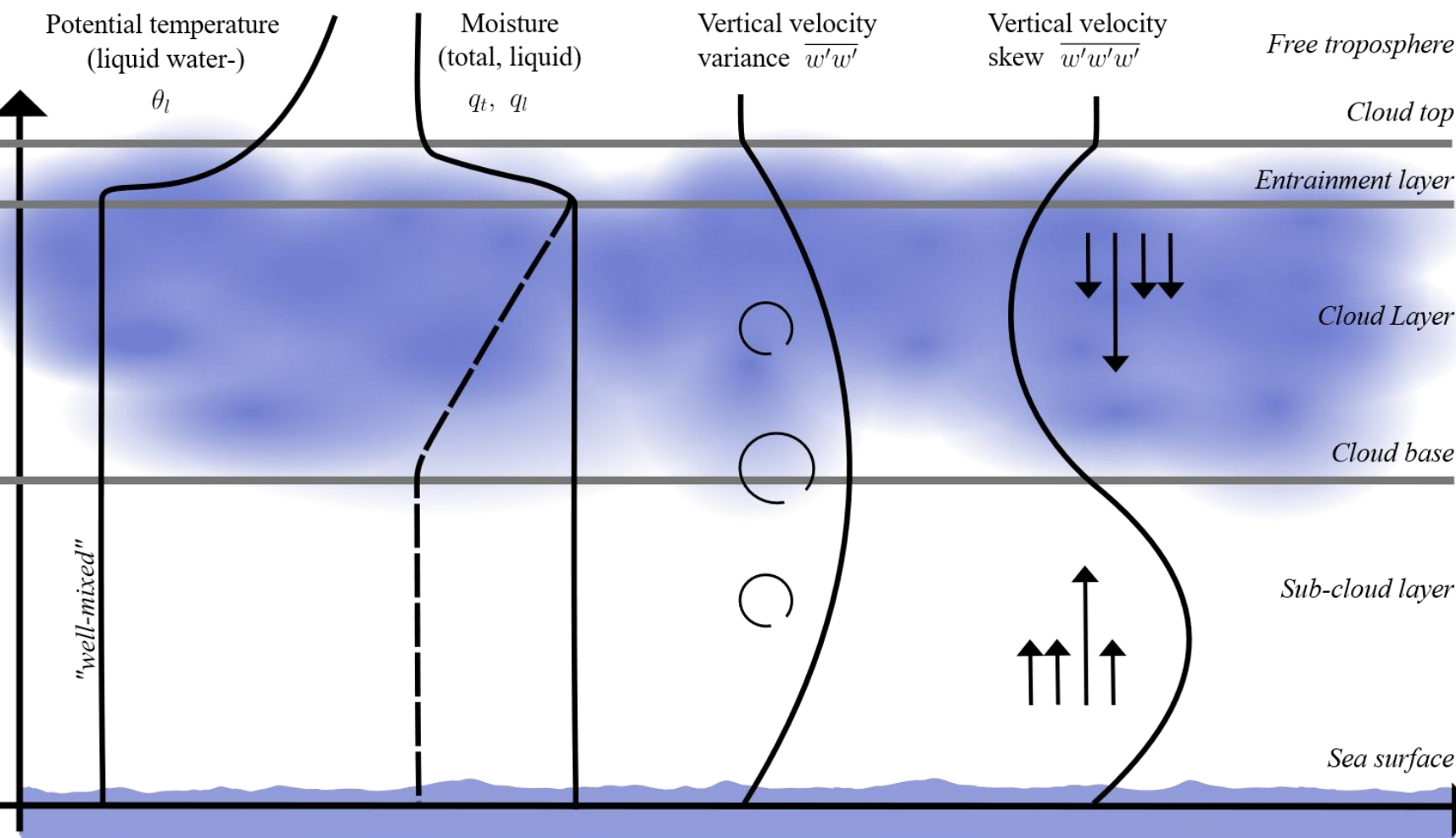
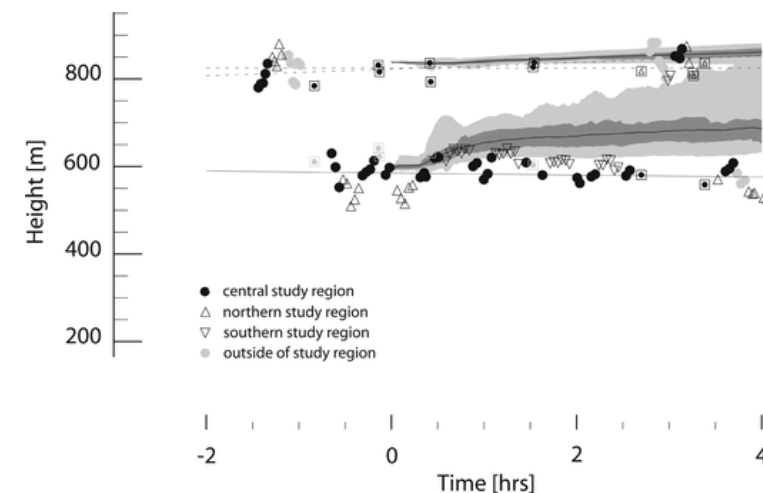
## Guiding questions

- Cloud properties?
- Stability over time?
- Sensitivities?



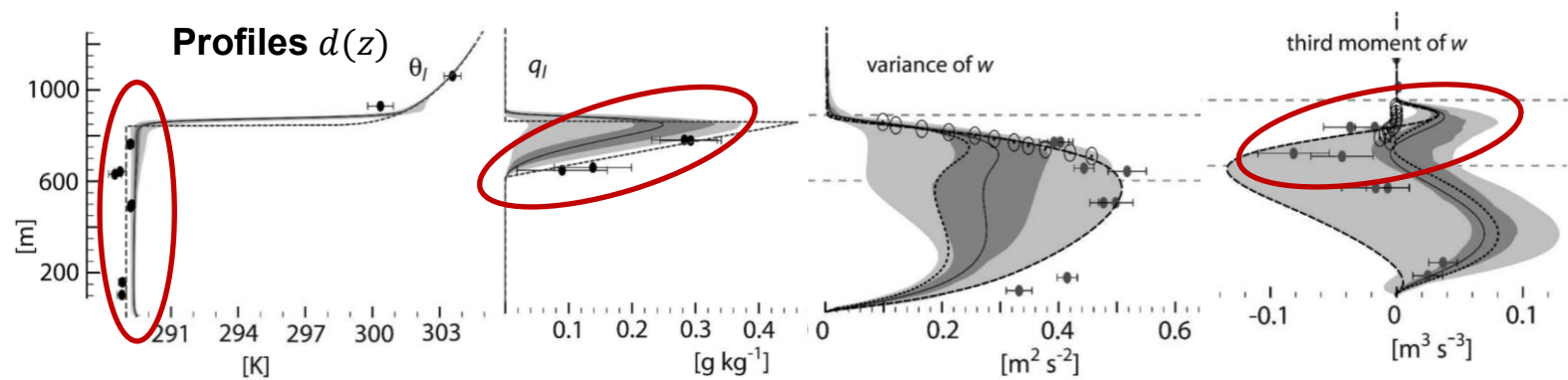
# Meas. Data

## Timeseries $d(t)$ Cloud top, base heights



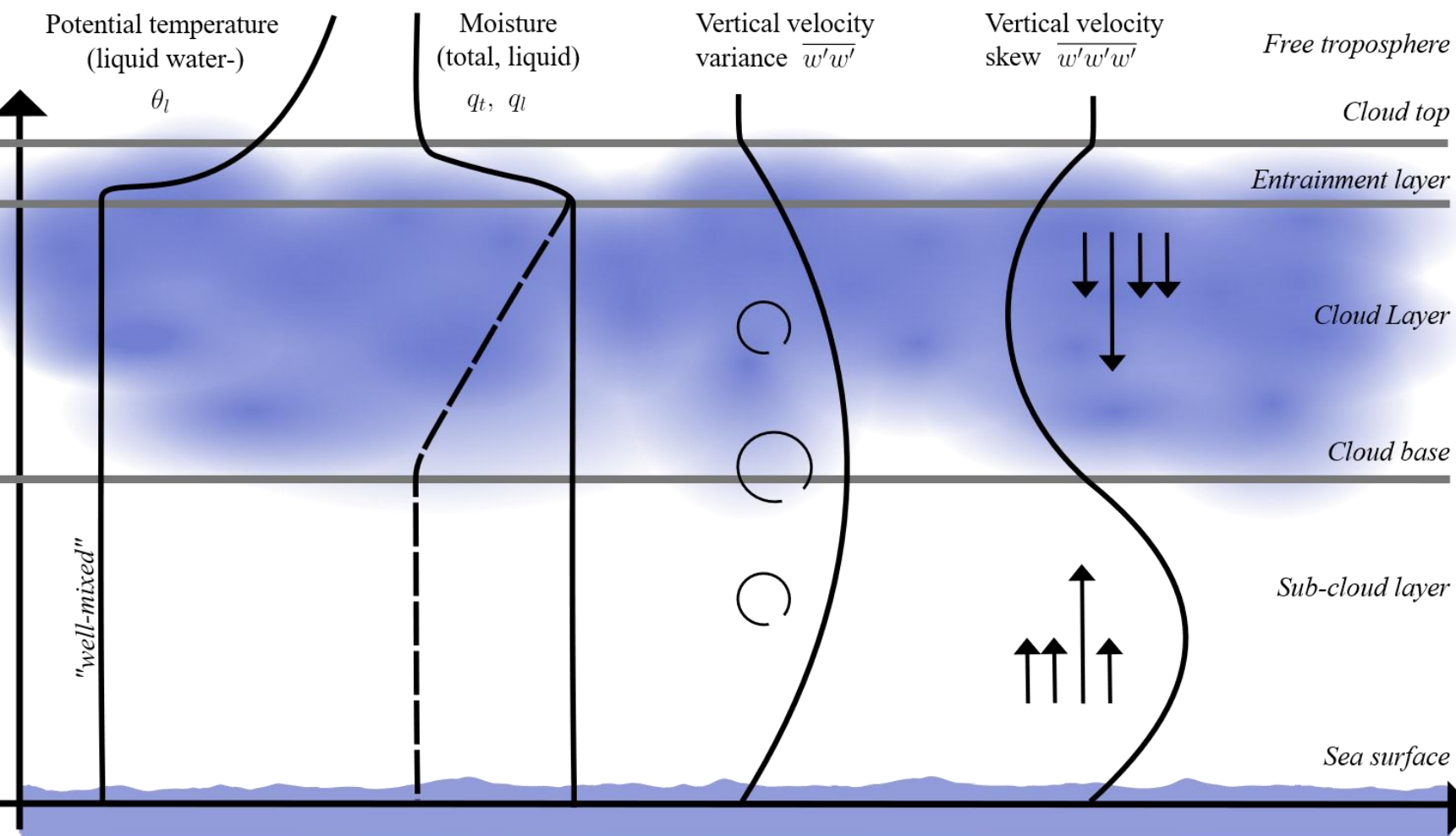
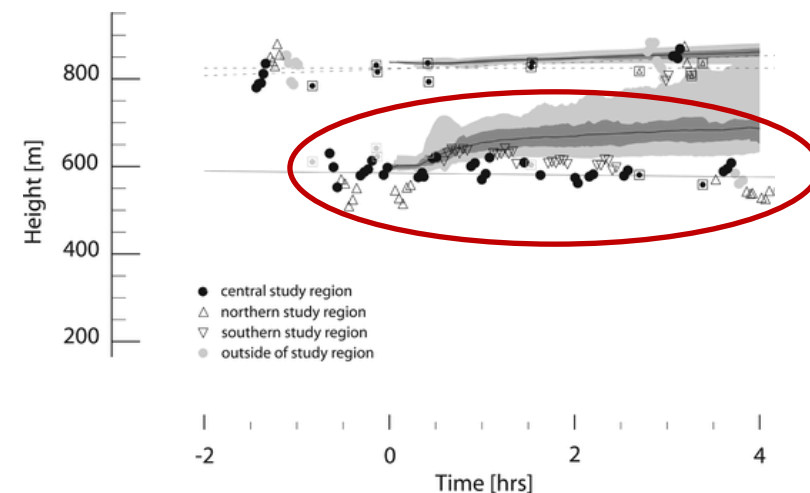
## Data $d$

- $d(z)$  Profiles: Horizontal and time averages at measurement heights
- $d(t)$  Timeseries: Horizontal averages (Linearly approximated)



# Meas. Data

## Timeseries $d(t)$ Cloud top, base heights

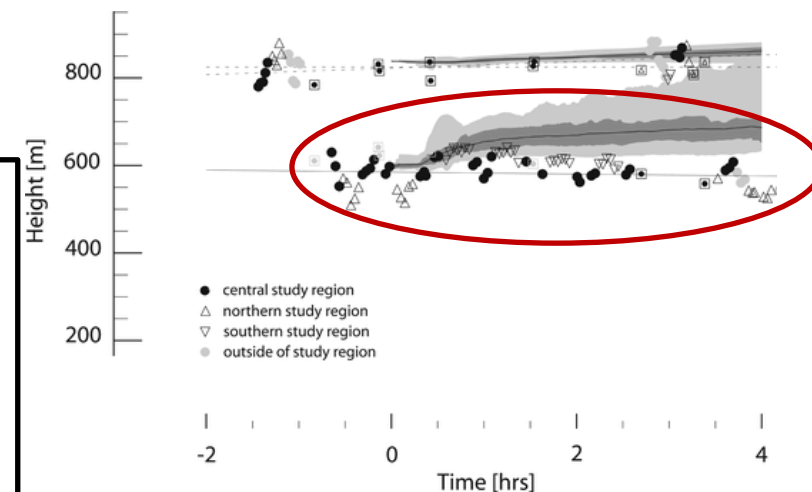
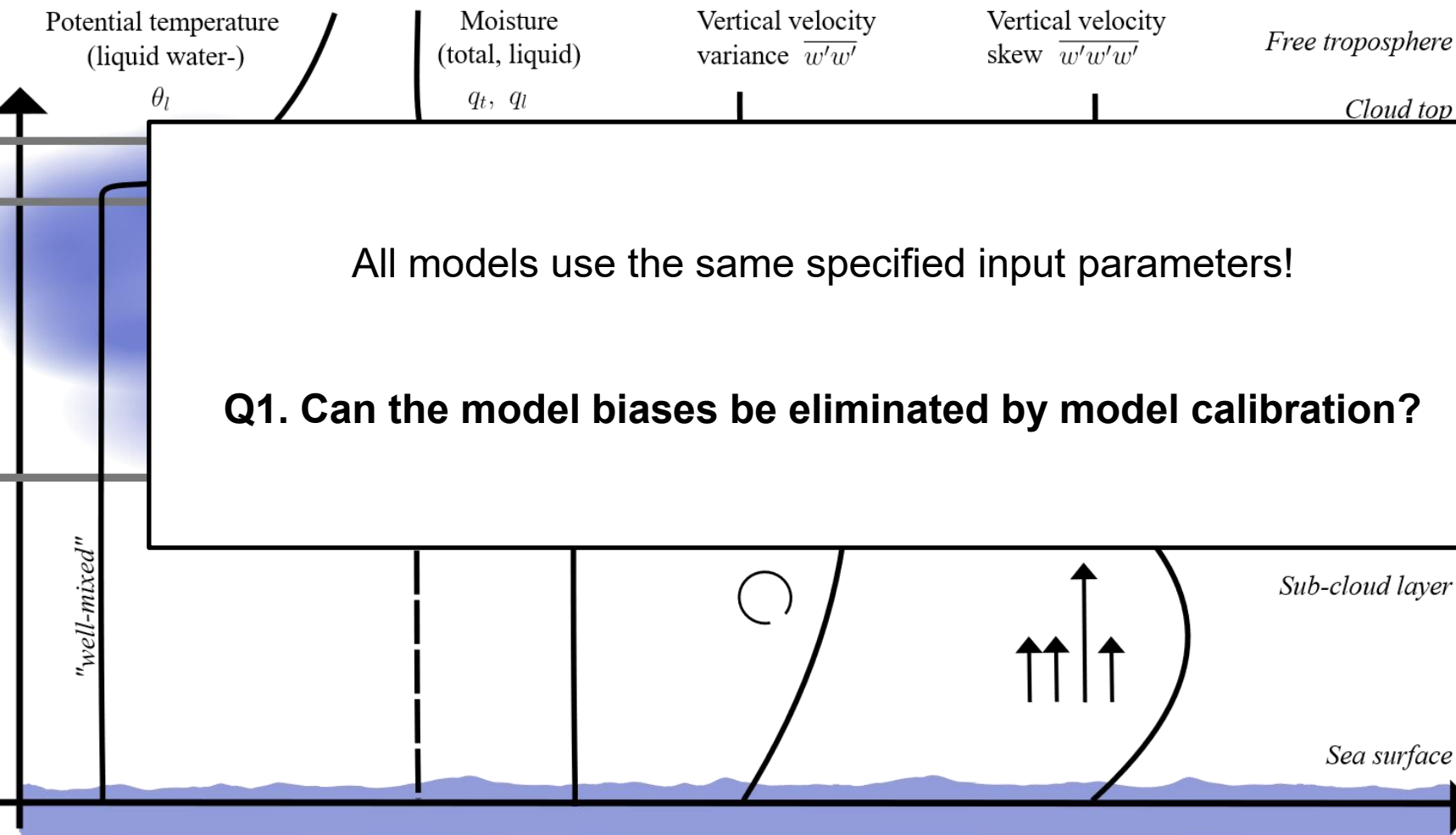


## LES intercomparison (Stevens et al. 2005)

Biases:  $\theta_l \uparrow$ ,  $q_l \downarrow$

Missed: Cloud base sinking

Missed: negative skew



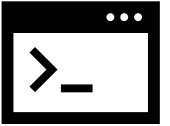
## LES intercomparaison (Stevens et al. 2005)

Biases:  $\theta_1 \uparrow$ ,  $q_1 \downarrow$

Missed: Cloud base sinking

Missed: negative skew

# Simulation of marine stratocumulus clouds



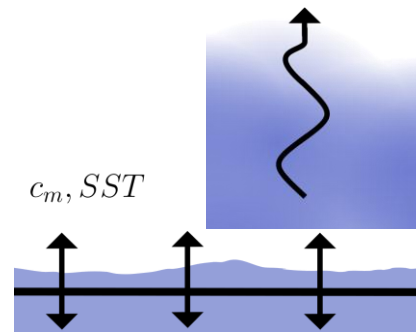
Large-eddy simulation in an anelastic framework with closed water and entropy balances (Pressel et al. 2015) „PyCLES“ = Python Cloud LES

Kyle G. Pressel<sup>1</sup>, Colleen M. Kaul<sup>1</sup>, Tapio Schneider<sup>1,2</sup>, Zhihong Tan<sup>1,2</sup>, and Siddhartha Mishra<sup>3</sup>

<sup>1</sup>Department of Earth Sciences, ETH Zürich, Zürich, Switzerland, <sup>2</sup>California Institute of Technology, Pasadena, California, USA, <sup>3</sup>Seminar for Applied Mathematics, ETH Zürich, Zürich, Switzerland

## Numerics: Grid-scale

- Common in the field: central differences
  - Dispersive, needs numerical diffusion to stabilize*
- PyCLES: Finite volumes 5th order WENO
  - Dissipative, does NOT need extra diffusion*
- Radiation: RRTM
- Surface fluxes: constant (in space and time)



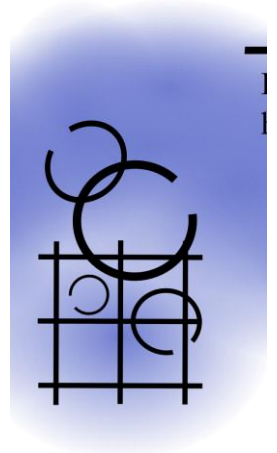
## Numerics: Sub-grid scale (SGS)

- Smagorinsky scheme (simplest!)
  - SGS stresses
$$\tau_{ij} = -2\nu_t S_{ij} \quad S_{ij} = \frac{1}{2} \left( \frac{\partial u_j}{\partial x_i} + \frac{\partial u_i}{\partial x_j} \right)$$
  - SGS viscosity, diffusivity
$$\nu_t = (c_s \Delta)^2 f_B |S| \quad D_t = \nu_t / \text{Pr}_t$$
  - Flow-dependence through moist buoyancy frequency  $N$

$$f_B = \begin{cases} 1 & \text{for } N^2 \leq 0, \\ \max \left[ 0, 1 - N^2 / (\text{Pr}_t |S|^2) \right]^{1/2} & \text{for } N^2 > 0. \end{cases}$$

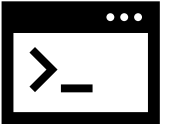
Turbulent mixing (sub-grid scale)

$c_s, \text{Pr}_t$



→ SGS schemes add numerical diffusion.

# Simulation of marine stratocumulus clouds



Large-eddy simulation in an anelastic framework with closed water and entropy balances (Pressel et al. 2015) „PyCLES“ = Python Cloud LES

Kyle G. Pressel<sup>1</sup>, Colleen M. Kaul<sup>1</sup>, Tapio Schneider<sup>1,2</sup>, Zhihong Tan<sup>1,2</sup>, and Siddhartha Mishra<sup>3</sup>

<sup>1</sup>Department of Earth Sciences, ETH Zürich, Zürich, Switzerland, <sup>2</sup>California Institute of Technology, Pasadena, California, USA, <sup>3</sup>Seminar for Applied Mathematics, ETH Zürich, Zürich, Switzerland

## Numerics: Grid-scale

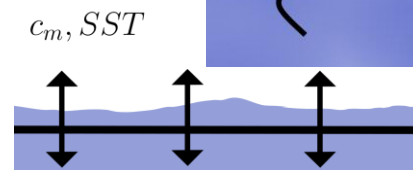
- Common in the field: central difference
  - Dispersive, needs numerical diffusion
- PyCLES: Finite volumes 5th order
  - Dissipative, does NOT need extra diffusion
- Radiation: RRTM
- Surface fluxes: constant (in space and time)

## Numerics: Sub-grid scale (SGS)

Smagorinsky scheme (simplest!)

PyCLES works best without SGS scheme,  
i.e.  $c_s = 0$  (“implicit LES”).  
(Pressel et al. 2017)

Q2. How do different numerics affect the calibration?

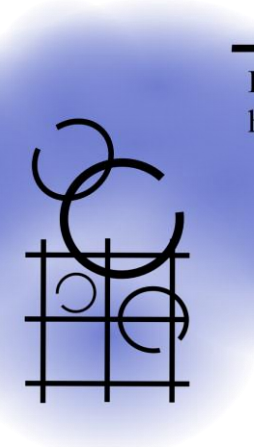


$$\left[ \max \left[ 0, 1 - N^2 / (Pr_t |S|^2) \right] \right]^{1/2} \text{ for } N^2 > 0.$$

→ SGS schemes add numerical diffusion.

Turbulent mixing  
(sub-grid scale)

$c_s, Pr_t$





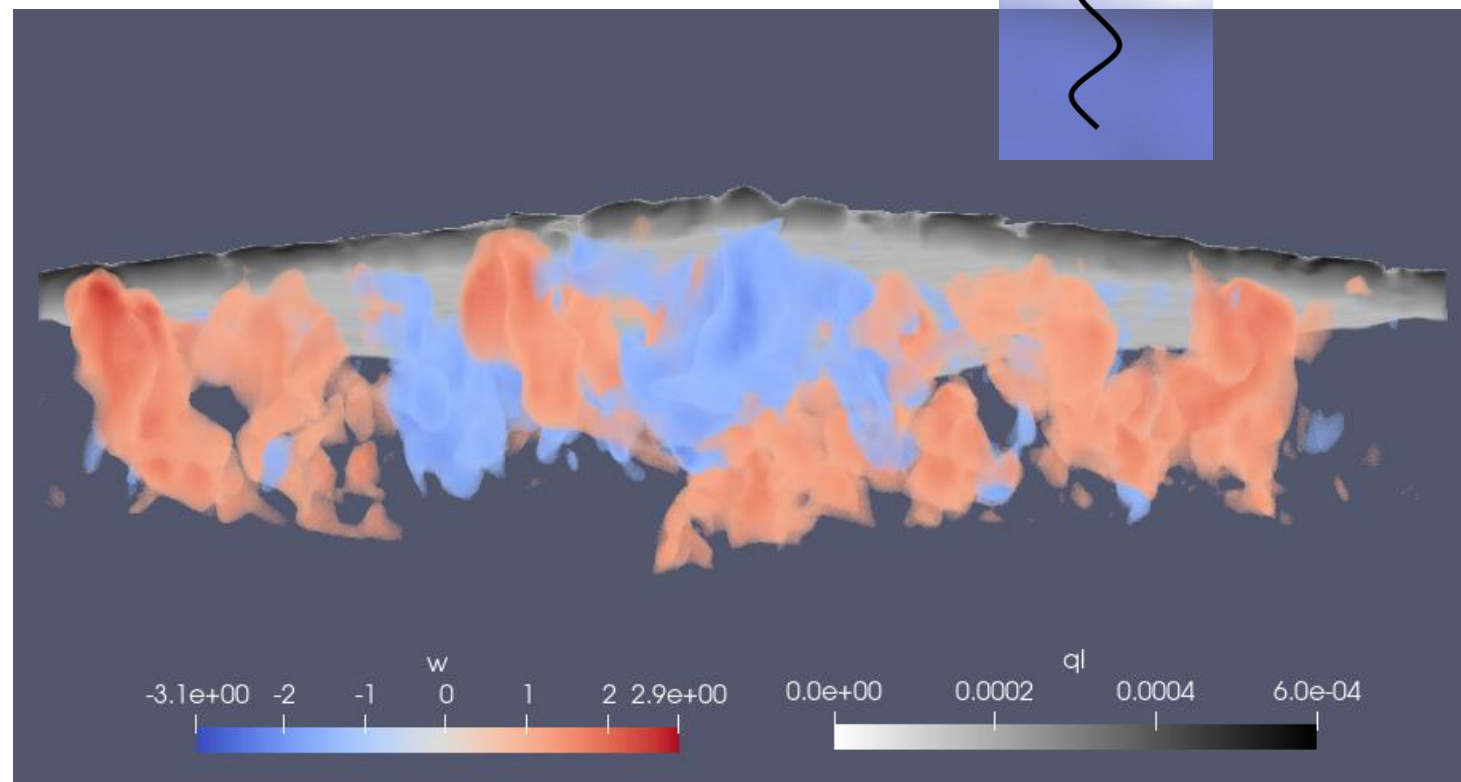
### Resolution

- $dx = 35\text{ m}, dz = 5\text{ m}$
- $nx^2 \times nz = 96^2 \times 300 = 2.8\text{M pixels}$
- $T = 4h, dt \approx 1 - 10s$

### Ressources

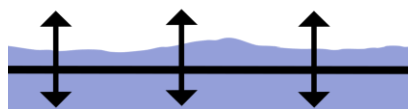
- Memory: 166M per time step
- Compute: 4:15h on 16 cores = 68 CPUh

## Vertical velocity + liquid water

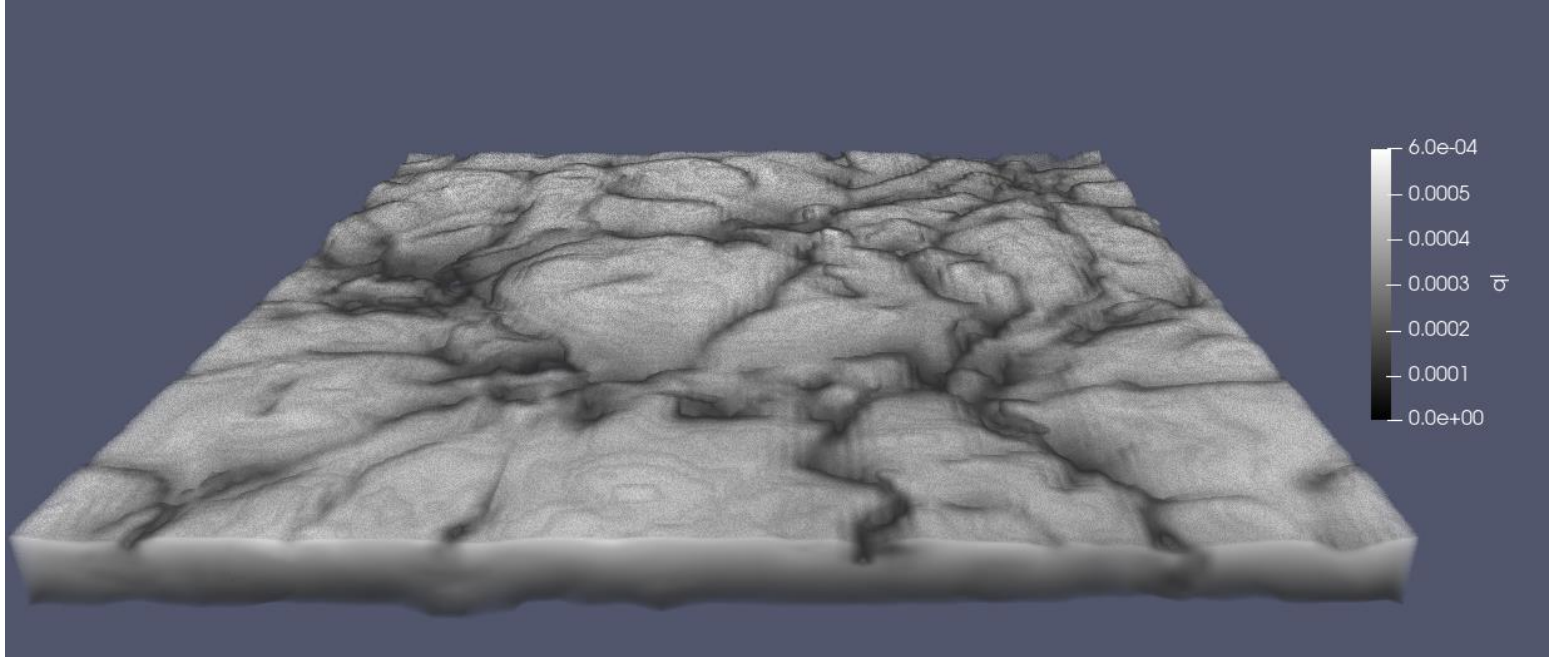


Surface fluxes  
(heat, moisture, momentum)

$c_m, SST$



## Liquid water



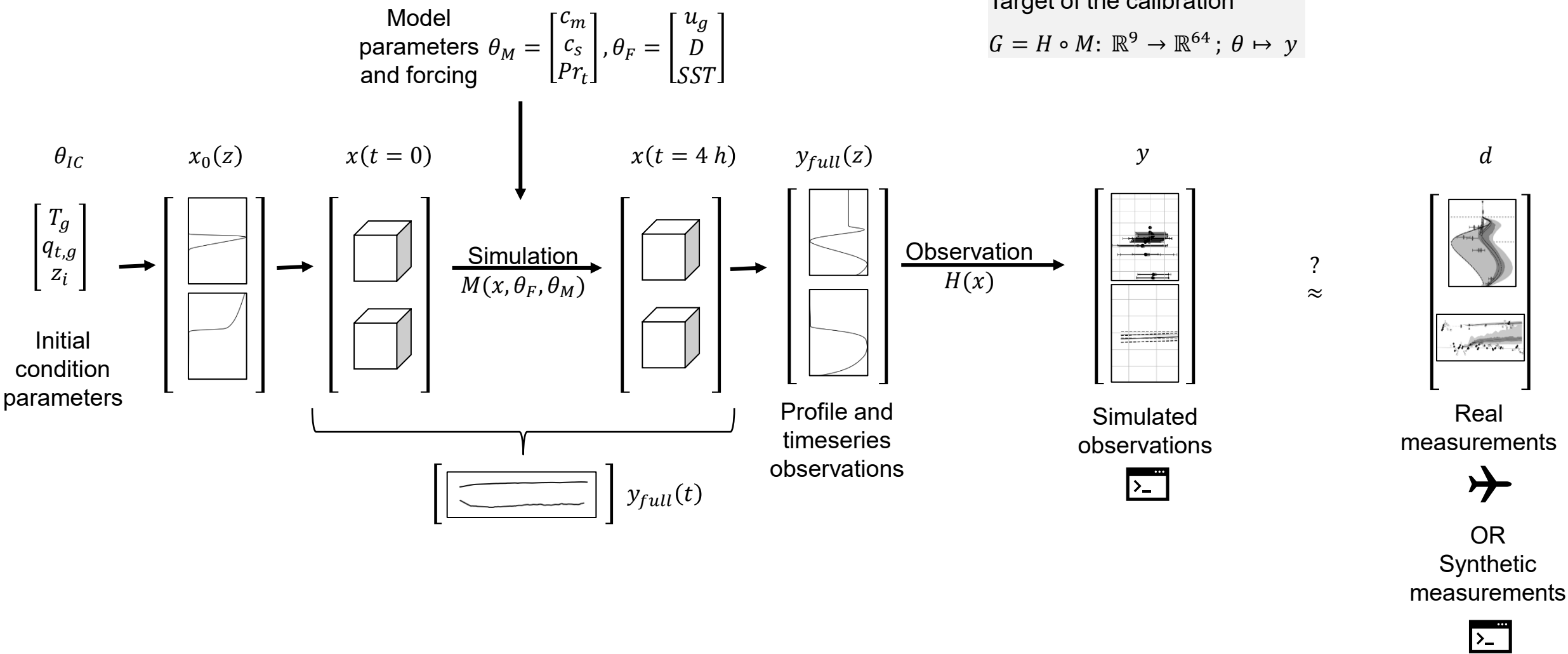
### Resolution

- $dx = 35\text{ m}, dz = 5\text{ m}$
- $nx^2 \times nz = 96^2 \times 300 = 2.8\text{M pixels}$
- $T = 4h, dt \approx 1 - 10s$

### Ressources

- Memory: 166M per time step
- Compute: 4:15h on 16 cores = 68 CPUh

# Data assimilation setup



# Data assimilation setup

Target: parameter-to-data map  $\mathcal{G}: \theta \mapsto y \approx d$

Bayes law:  $p(\theta|d) \propto p(d|\theta) p(\theta)$

*posterior*  $\propto$  *likelihood* \* *prior*

*analysis*  $\propto$  *observations* \* *forecast*

Assume  $\mathcal{N}$ :  $p(\theta) = \mathcal{N}(\mu_\theta, C_\theta)$ ,  $p(d|\theta) = \mathcal{N}(\mu_j, C_\epsilon)$

DA Method: EnKF (perturbed observations)

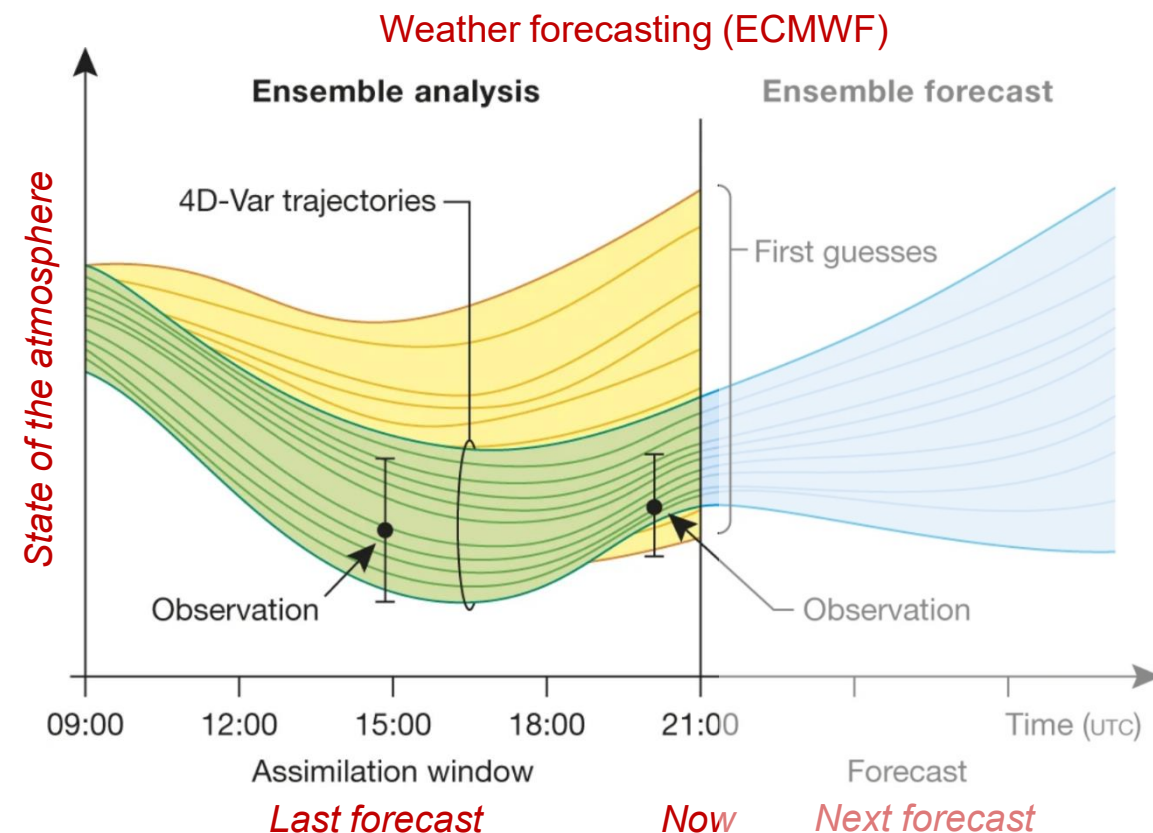
[ETKF (sqrt) gave similar results]



DAPPER package (credit to P. Raanes!)

## Single-event calibration $\neq$ Weather forecasting

- $\theta$  = parameters (not state)
- No time cycling („smoothing“, not „filtering“)



# Bayesian Setup

Bayes law:

$$p(\theta|d) \propto p(d|\theta) p(\theta)$$

$$\text{posterior} \propto \text{likelihood} * \text{prior}$$

$$\text{analysis} \propto \text{observations} * \text{forecast}$$

Assume  $\mathcal{N}$ :

$$p(\theta) = \mathcal{N}(\mu_\theta, C_\theta), \quad p(d|\theta) = \mathcal{N}(\mu_j, C_\epsilon)$$

→ Data:

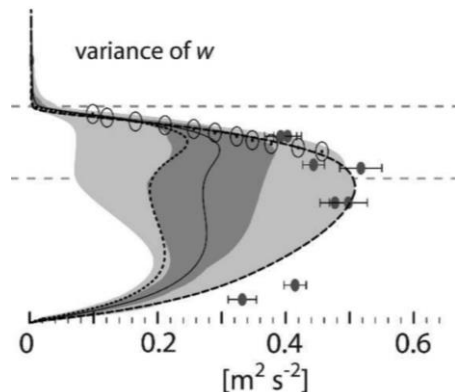
$$\sigma_j^2 = \text{meas. Error}$$

$$C_\epsilon = \text{diag}(\sigma_j^2): \text{no model error, no cross-correlations}$$

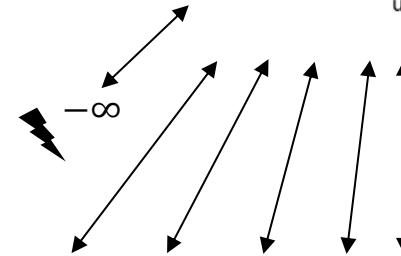
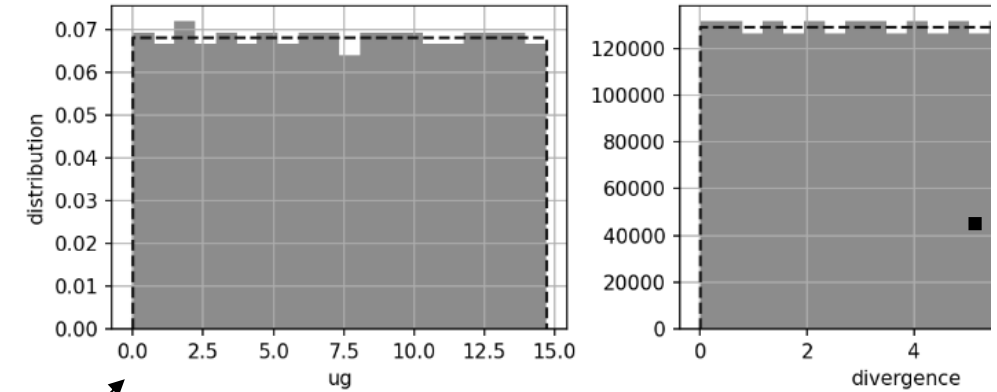
→ Parameters:

Transform uniform bounds  $U(a, b)$  to  $\mathcal{N}(0,1)$ :  $C_\theta = I$

Bounds around **nature** parameters from the intercomparison

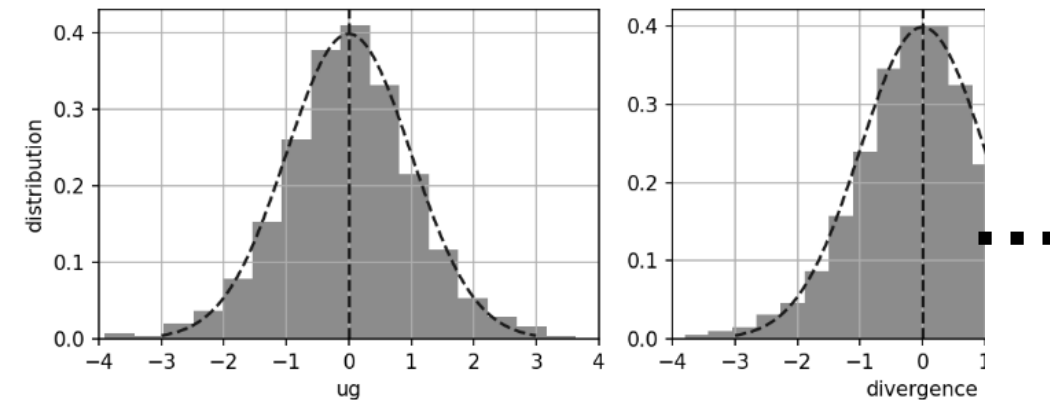


„Physical space“



$$T(\theta) = \log\left(\frac{\theta - a}{b - \theta}\right) / \sigma \quad \updownarrow \quad T^{-1}$$

$N(0,1)$

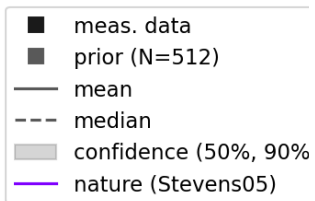
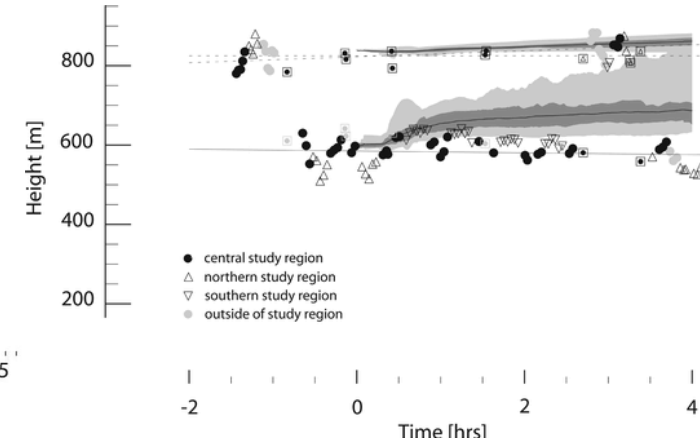
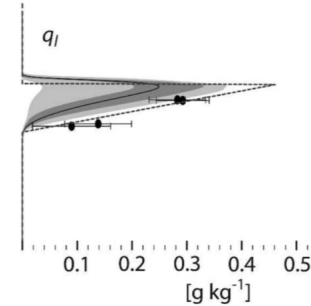
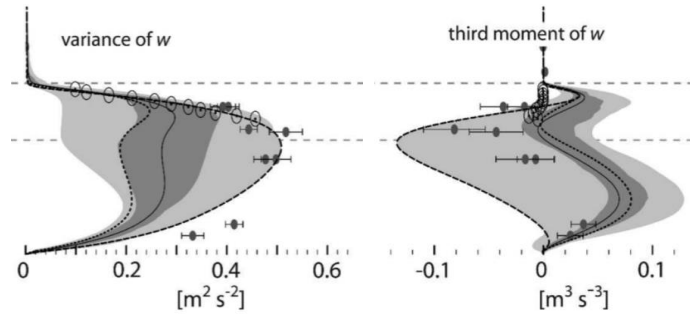


„Normalized space“

# Prior ensemble

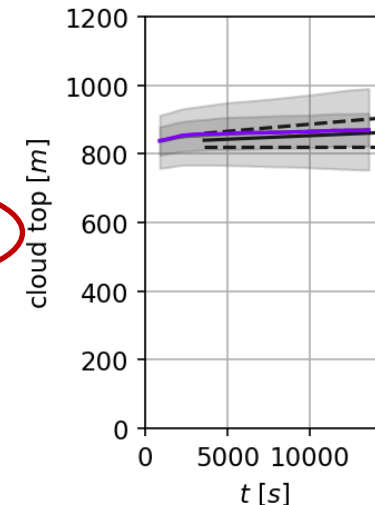
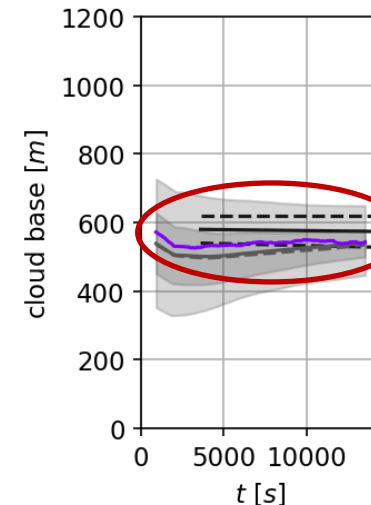
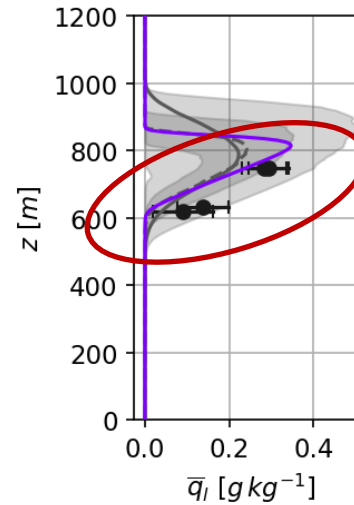
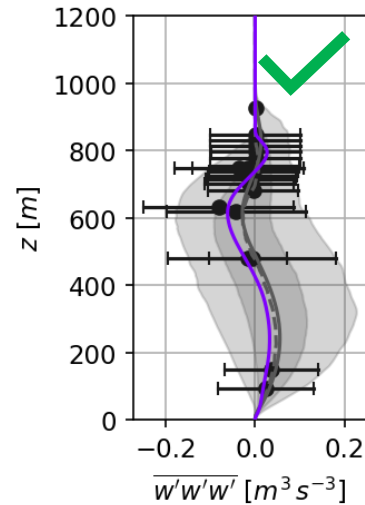
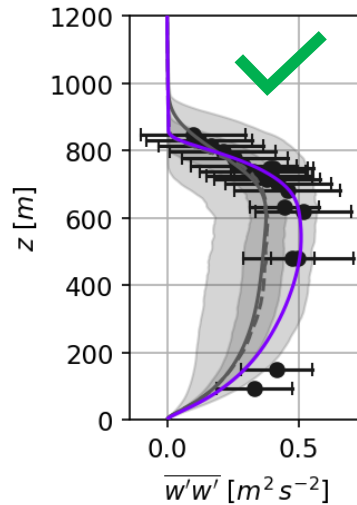
PPE = Perturbed Physics Ensemble, N=512  
Compared to Stevens et al. 2005

Other LES  
with nature  
parameters



PyCLES with  
perturbed  
parameters

PyCLES with  
nature  
parameters



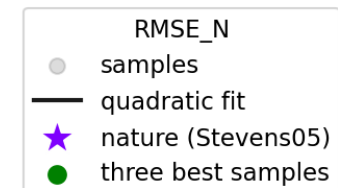
w profiles: good  
performance

Moisture and cloud base: similar  
bias to Stevens05

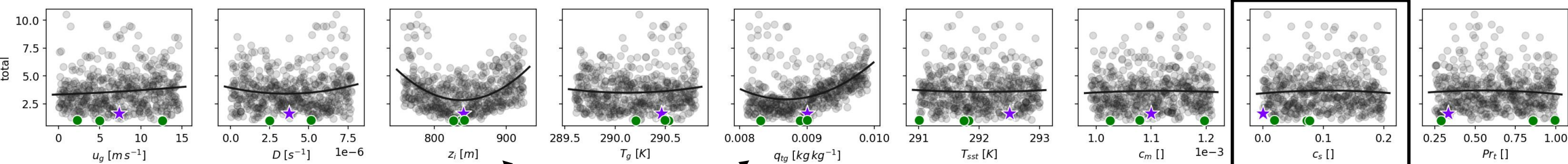
# Prior ensemble

Gaussian RMSE, N=512

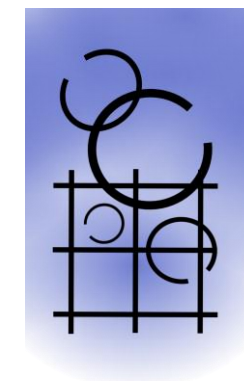
$$RMSE_{\mathcal{N}}(\theta) = \left( \frac{1}{M} \sum_{j=1}^M \left( d_j - y_j(\theta) \right)^2 / \sigma_j^2 \right)^{1/2}$$



## Gaussian RMSE over all observations



No sign of correlation for the Smagorinsky constant.



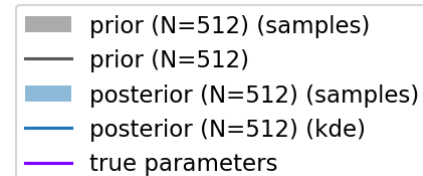
## Uncertain parameters $\theta$

|           |                                                 |
|-----------|-------------------------------------------------|
| $T_g$     | Initial BL temperature                          |
| $q_{t,g}$ | Initial BL total humidity                       |
| $z_i$     | Initial BL height                               |
| $u_g$     | Forcing: Horizontal wind (geostrophic)          |
| $D$       | Forcing: Vertical wind (diverence ~ subsidence) |
| $SST$     | Forcing: Sea surface temperature                |
| $c_m$     | Aerodyn. bulk coefficient (surface exchange)    |
| $c_s$     | Smagorinsky constant (turbulent viscosity)      |
| $Pr_t$    | Turbulent Prandtl number (turbulent diffusion)  |

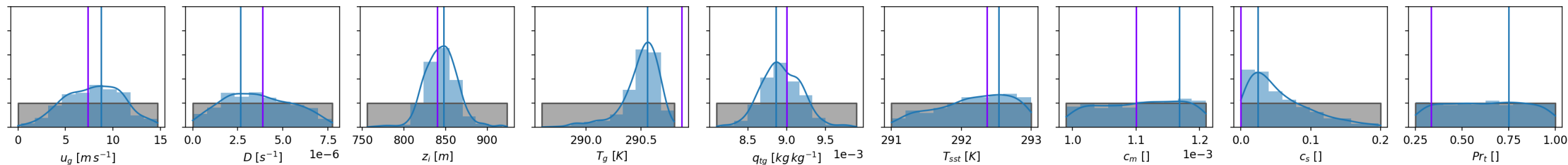
Clear minimum only for initial moisture and boundary layer height

# Assimilating synthetic observations

Ensemble Smoother (EnKF with perturbed observations), N=512



Synthetic data:  
nature sample



Skewed  
(due to prior transform  $T$ )

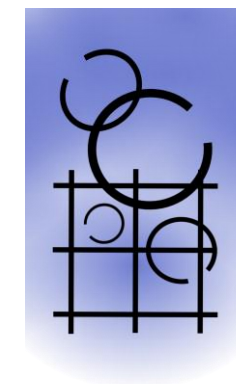
Not identifiable

Identifiable ☺

Not identifiable

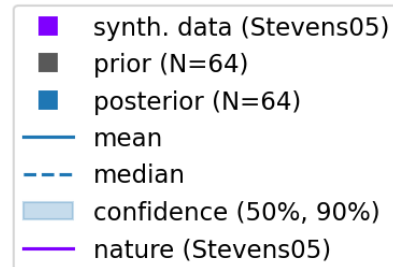
## Uncertain parameters $\theta$

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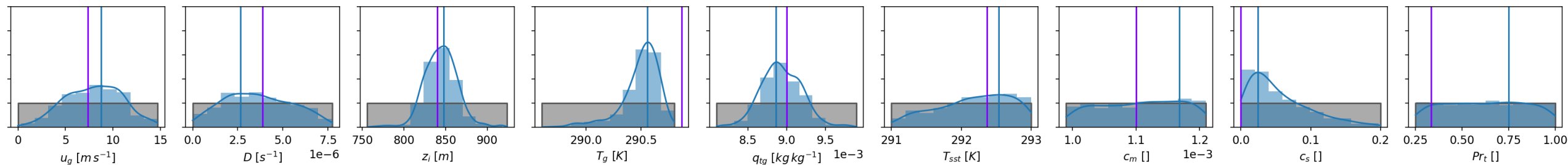


# Assimilating synthetic observations

Ensemble Smoother (EnKF with perturbed observations), N=512

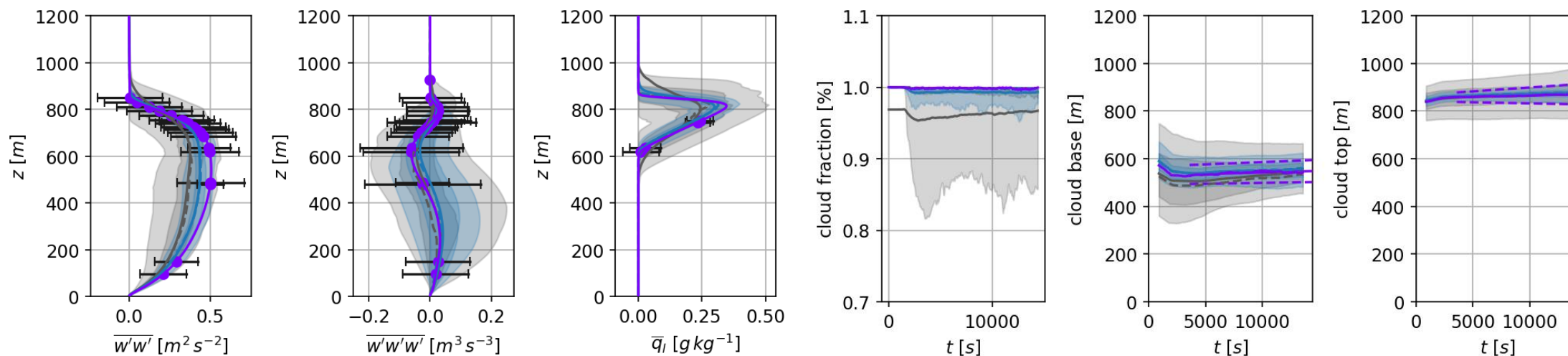


Synthetic data:  
nature sample



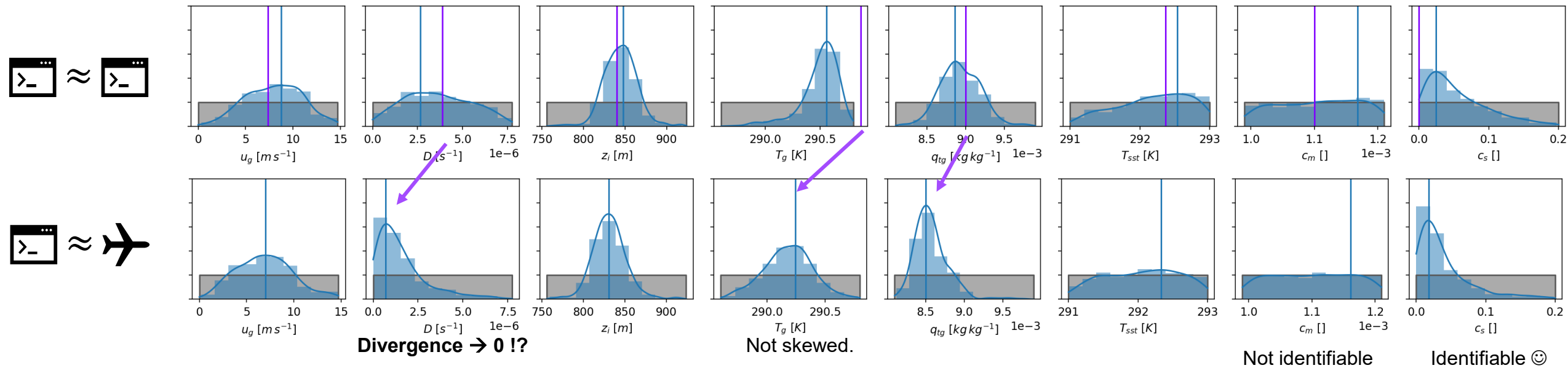
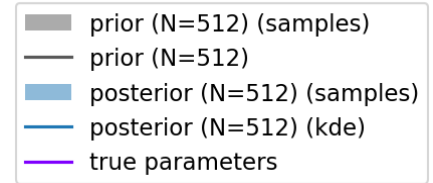
⌚ Simulate posterior  
ensemble (N=64) ...

Good fits to the synthetic data ☺



# Assimilating real measurements

Ensemble Smoother (EnKF with perturbed observations), N=512

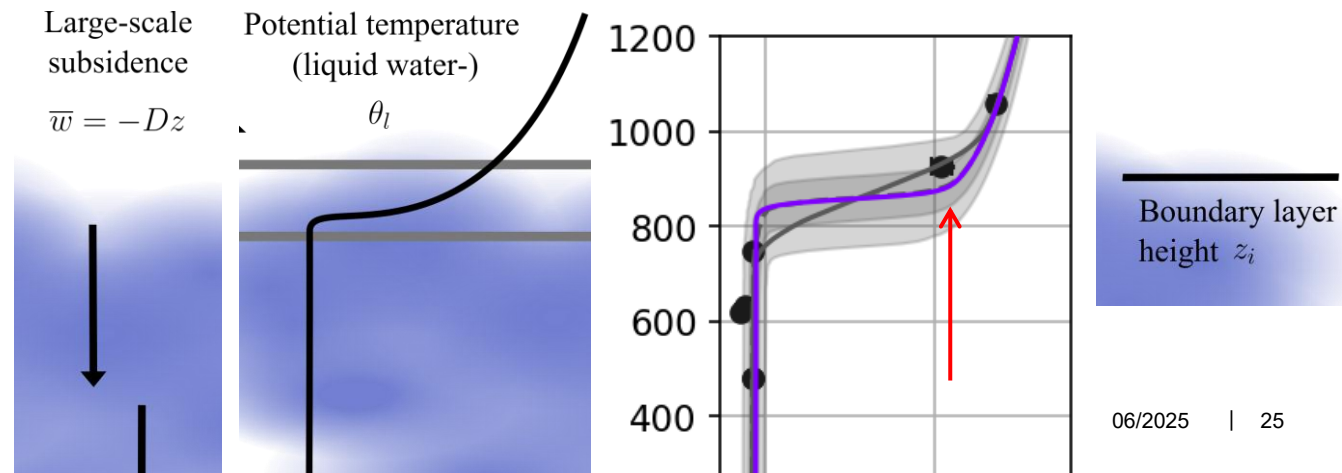


## Why divergence $\rightarrow 0$ ?

Less „squeezing“ helps to reduce bias just above cloud top\*

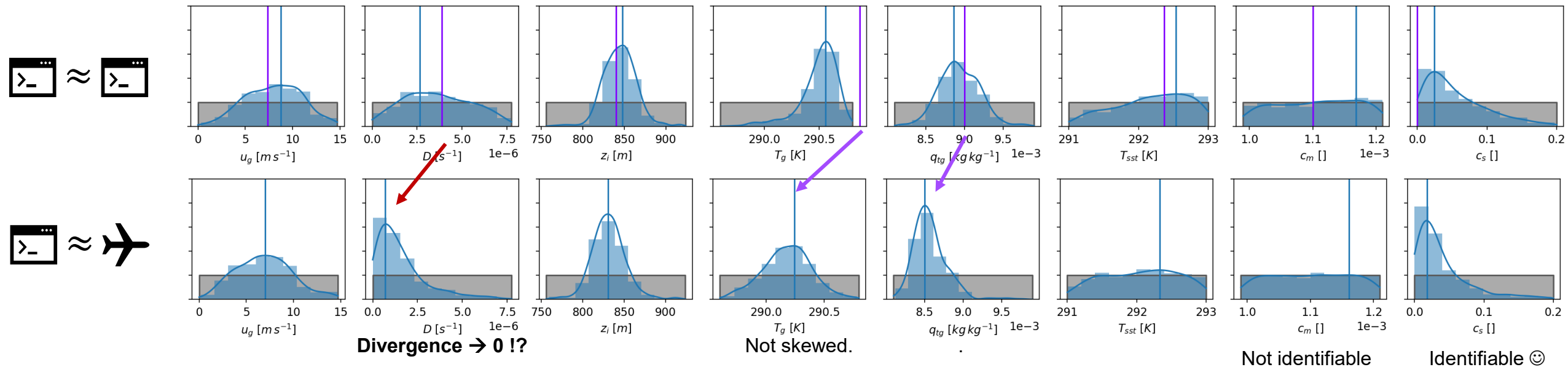
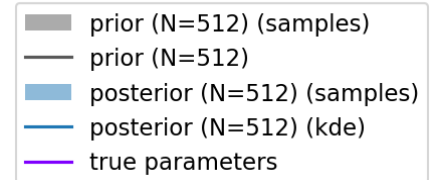
## Why not increase the initial layer height $z_i$ ?

$z_i$  is strongly constrained by cloud top height and w profiles\*



# Assimilating real measurements

Ensemble Smoother (EnKF with perturbed observations), N=512



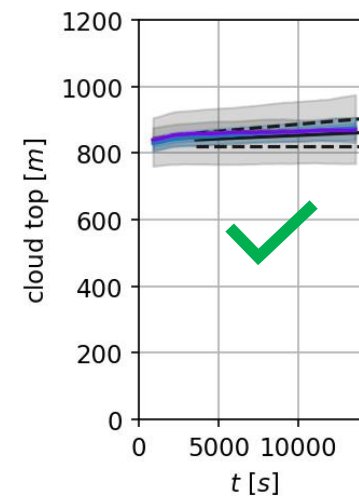
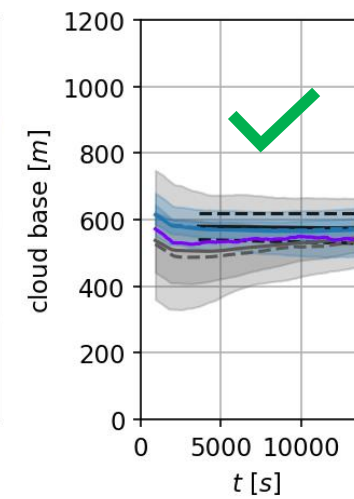
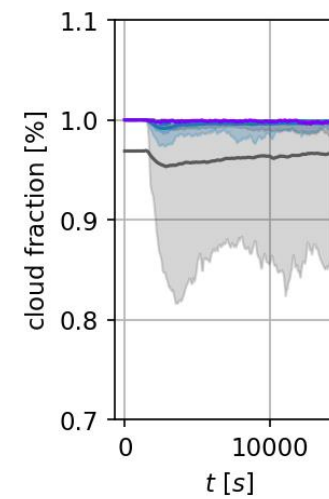
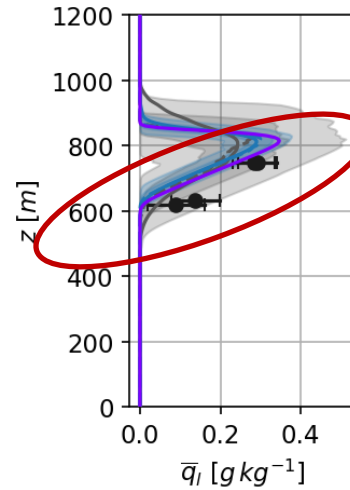
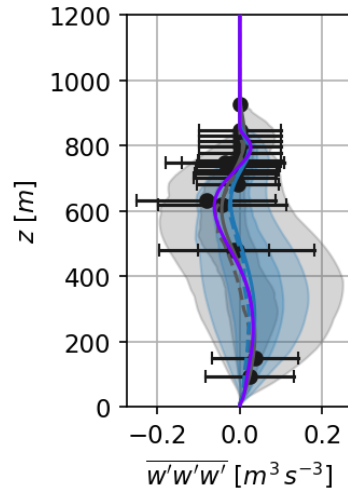
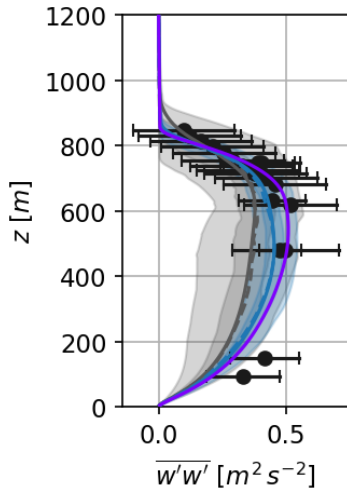
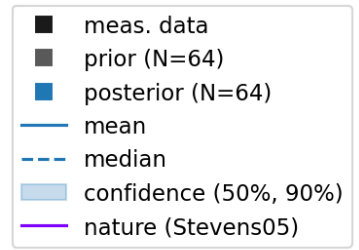
**Q1. Can the model biases be eliminated by model calibration?**

For PyCLES, adapt the nature parameter as follows:

- Remove input biases  $T_g \downarrow, q_{t,g} \downarrow$
- Correct misspecification (?)  $D \rightarrow 0$
- Choose model parameter  $c_s \rightarrow 0$

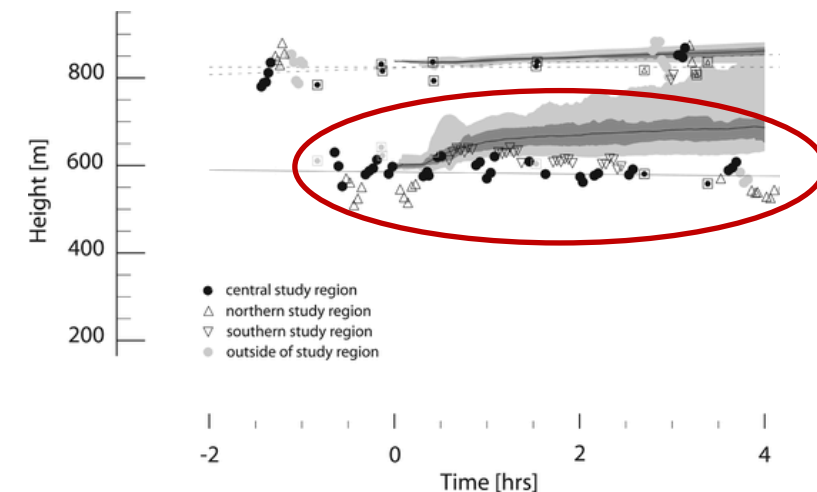
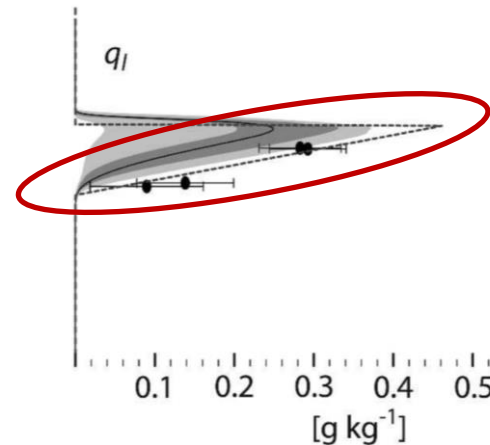
# Assimilating real measurements

Ensemble Smoother (EnKF with perturbed observations), N=64



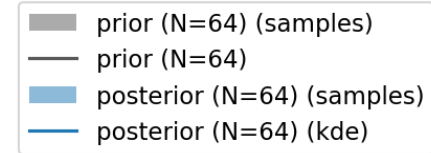
Lack of liquid water remains.

Better timeseries fit.  
Growing cloud layer ☺

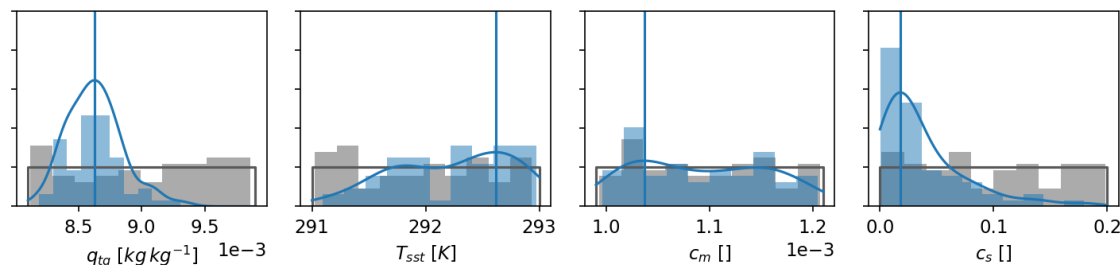


# Calibrating different numerics

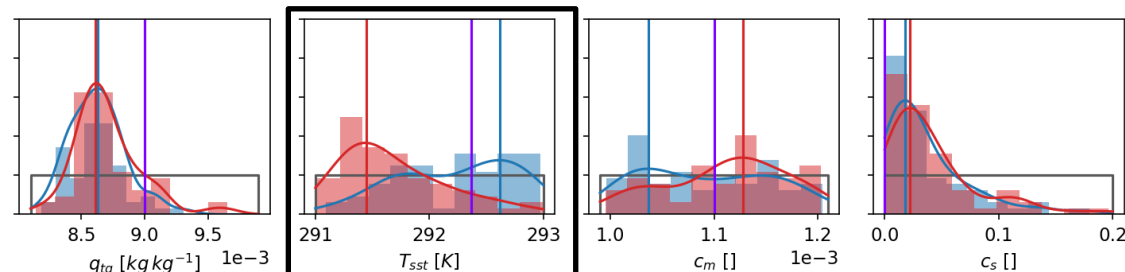
Ensemble Smoother (EnKF with perturbed observations), N=64



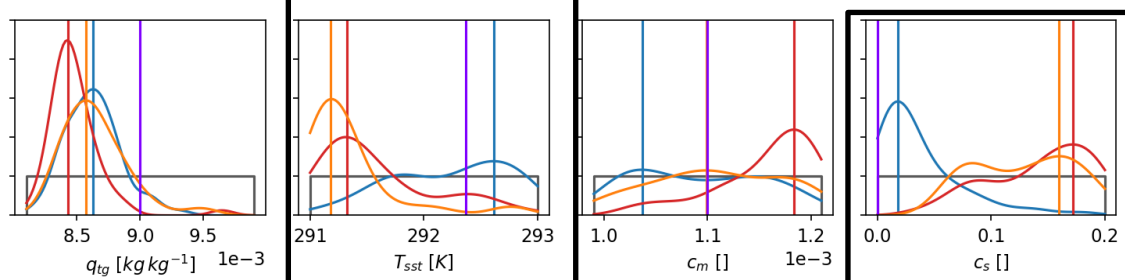
Default  
dx=35, dz=5  
WENO-WENO



Low resolution  
dx=50, dz=15



Other numerics  
central-WENO  
central-central



## Q2. How do different numerics affect the calibration?

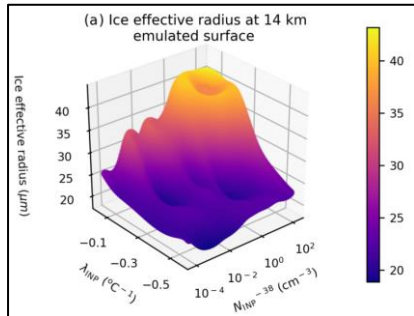
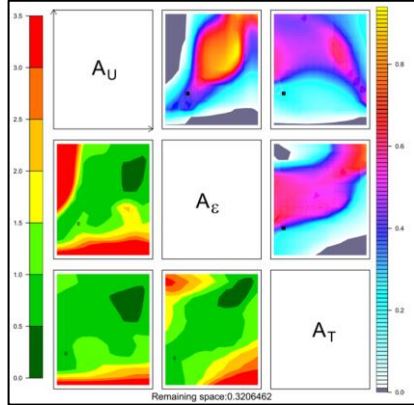
Both numerics and resolution affect the posterior estimates.

For implicit LES numerics, the Smagorinsky constant  $c_s \rightarrow 0$ .

Forcing bias depends on resolution and numerics.

Model parameter  $c_s$  depends on numerics, but not on resolution.

# Next Steps

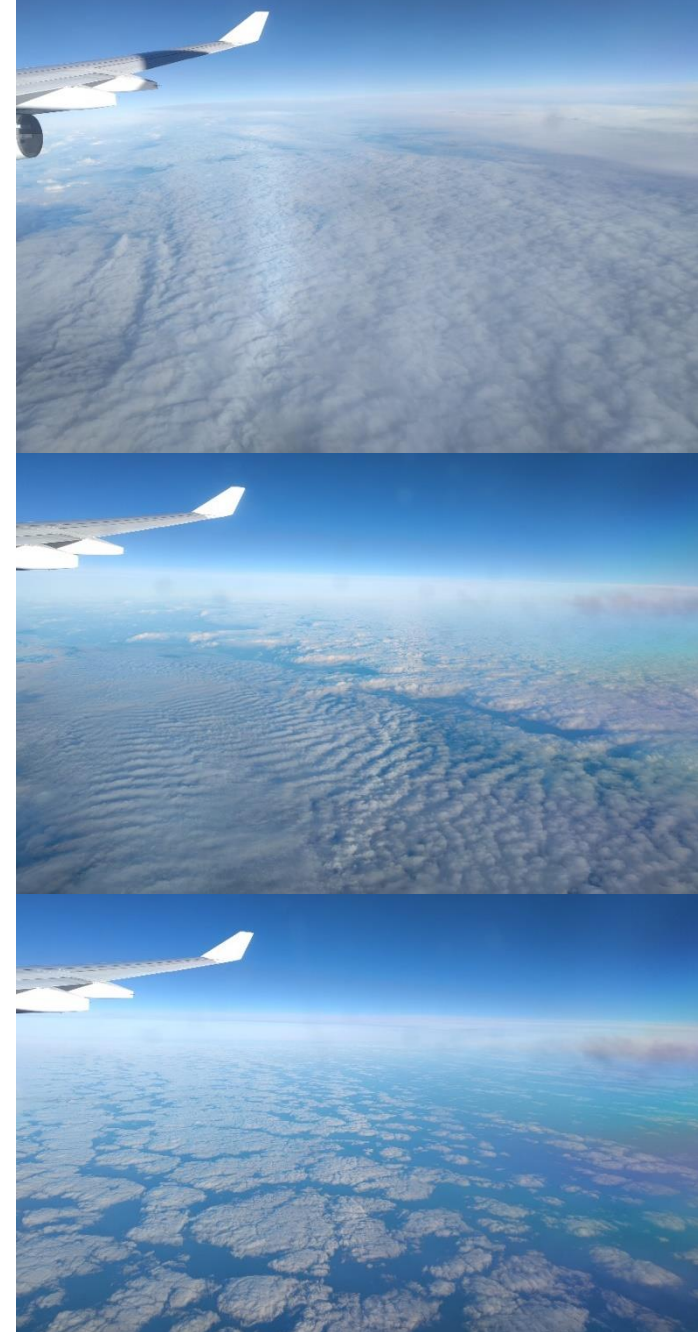


## 1. Compare to other calibration methods

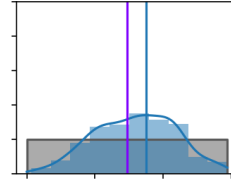
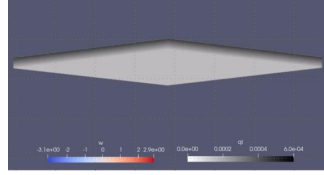
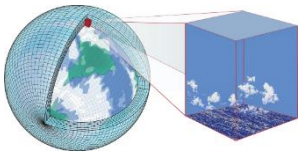
- Data assimilation: ES-MDA
- Atmospheric community: Typically  $O(1-10)$  parameters
  - Latin Hypercube sampling + performance score (Hawker et al. 2021, Liu et al. 2022)
  - History matching (ruling out parameter space regions) (Covreux et. al 2021)
  - Gaussian Process + Markov-Chain Monte—Carlo (Cleary et. al 2021)

## 2. Increase problem complexity: $G: \mathbb{R}^9 \rightarrow \mathbb{R}^{64}; \theta \mapsto y$

- Infer full profiles  $\rightarrow \theta \in \mathbb{R}^{O(50)}$
- Assimilate full timeseries  $y \in \mathbb{R}^{O(100)}$
- More complex dynamics  $\rightarrow$  More nonlinear  $G$ 
  - Different atmospheric conditions (test case)
  - Include microphysics parameters (precipitation)



# Calibration of High-Resolution Atmospheric Models



1. Models



2. Clouds & Measurements



3. Simulation

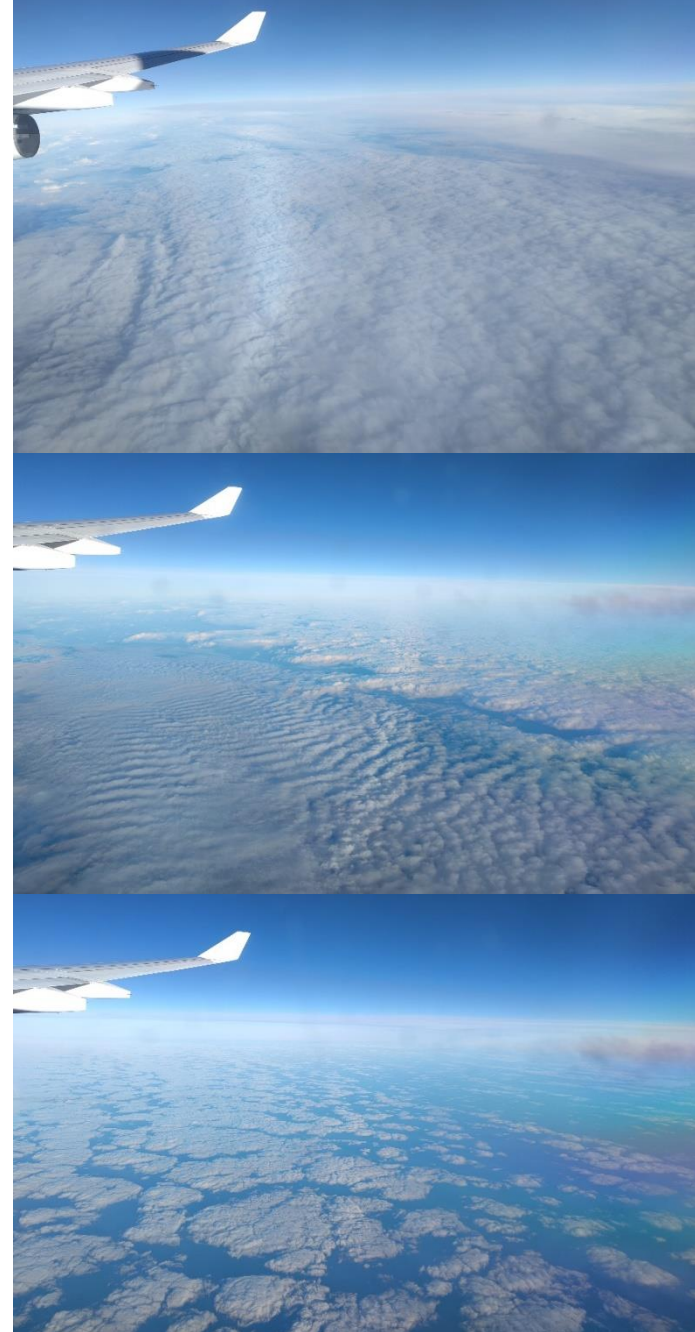


4. Calibration

1. Large Eddy Simulations serve to calibrate lower-resolution models.
2. The DYCOMS-II RF01 marine stratocumulus measurements are a well-known intercomparison case for LES, but the models share similar biases.
3. PyCLES is an implicit LES which performs best without sub-grid scale scheme.
4. EnKF can be used to tune PyCLES to DYCOMS-II RF01.

Q1: We obtain improved input biases and model parameters.

Q2: The posterior estimates are sensitive to resolution and numerics.



# References

## DYCOMS-RF01 test case

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## PyCLES model

Pressel, K. G., C. M. Kaul, T. Schneider, Z. Tan, and S. Mishra (2015). “Large-Eddy Simulation in an Anelastic Framework with Closed Water and Entropy Balances”. In: Journal of Advances in Modeling Earth Systems 7.3, pp. 1425–1456. doi: 10.1002/2015MS000496.

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## Model calibration

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Schneider, T., J. Teixeira, C. S. Bretherton, F. Brient, K. G. Pressel, C. Schär, and A. P. Siebesma (2017). “Climate Goals and Computing the Future of Clouds”. In: Nature Climate Change 7.1, pp. 3–5. doi: 10.1038/nclimate3190.